# SOFTWARE ARCHITECTURE MODELING FOR USER INTERFACES

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#### **SUMMARY**

Architectural modeling is becoming a central problem for large, complex systems. With the advent of new technologies and user-centered concerns, the user interface portion of interactive systems is becoming increasingly large and complex. This chapter is a reflection on software architecture modeling for interactive systems. In this domain, a number of architectural frameworks have emerged as canonical references. Although these models provide useful insights about how to partition and organize interactive systems, they do not always address important problems identified by main stream software architecture modeling. We first introduce the notion of software architecture and make explicit the steps and issues that architectural design involves and that most software designers tend to blend in a rather fuzzy way. Building on general concepts, a comparative analysis of the most significant reference models developed for interactive systems is then presented: the Seeheim and Arch models followed by the more recent agent-based approaches that can be shaped to support multimodal interaction and groupware.

# **KEYWORDS**

Seeheim model, Arch model, multi-agent model, PAC, MVC, PAC-Amodeus, user interface software architecture, software architecture, Computer-Human Interaction.

# INTRODUCTION

The design of software architecture has become an active area of research (Shaw, 1995; Bass, 1998). Although the ad-hoc development of software is acceptable for throwaway prototypes, it is now widely recognized that architectural design of complex systems can no longer simply emerge from craft skills. This shared scientific wisdom calls for increased attention in the practice of user interface development.

In Human Computer Interaction (HCI), early prototypes are sometimes developed to elicit users' requirements or to make explicit the user interface of the target system. A simple cost/benefit analysis indicates that a prototype does not require an "industrial strength" software organization. In this case, software architecture is not *the* issue. The pitfall, however, is to put too much effort into the prototype and thus, be tempted to turn the software into a product. Then, in the absence of an explicit architectural framework and sound design rationale, the resulting system is difficult to maintain and extend.

Current practice in prototyping is not the only motivation for paying attention to architectural design. Emerging interaction techniques are more and more complex. These include:

- Groupware, i.e., systems that allow multiple users to achieve a common task either at the same time or asynchronously, either at a distance or co-located (Baecker, 1993; Ellis 1994),
- Multimodal interaction, i.e., user interfaces that support multiple forms of interaction such as the combination of speech and gesture (Nigay, 1995; Oviatt, 1997; Oviatt 2000),
- Virtual reality systems coined in 1989 by Jaron Lanier to refer to systems that immerse the user in a simulated world (Rheingold, 1991),
- Augmented or mixed reality systems that bring together the real world with information processing (Krueger, 1990; Wellner, 1993; Crowley, 2000),
- Situated interaction and context-aware computing that provides the user with relevant information based on the knowledge of the current interaction context, such as location and lighting conditions (Dey, 2001).
- Universal access (Shneiderman, 2000), i.e., the capacity of anybody (including handicapped people) to access computer systems anywhere (e.g., at home, in the street, etc.), using any device (from a high-end PC to a mobile phone) at any time.

Off-the-shelf tools such as application frameworks and user interface generators alleviate the problem of designing the architecture but they can be applied in the design of casual Graphical User Interfaces (GUI) running on standard workstations. For non-standard situations such as those depicted above, programmers must reverse-engineer the architecture of object-oriented application frameworks in order to reuse and extend the existing code appropriately. A similar observation holds for user interface generators. Again, software designers must understand the functional coverage of the generated code in order to devise what needs to be developed by hand. In addition, they must understand how to integrate and coordinate the hand-coded portion with the generated code in a way that supports the system requirements. Without an architectural framework to guide the decisions, it is difficult to achieve this task properly.

In the light of the above analysis, software architecture modeling serves two distinct but complementary purposes: the forward design and the reverse design of software structures. On one hand, software architecture modeling guides the development of a future system; on the other hand, it helps to understand the organization of existing code. In both cases, the problem is how to capture architectural knowledge and convey this knowledge to software designers and maintainers in a useful way.

This chapter reports a state of the art on software architecture modeling for interactive systems. It is structured in the following way:

- First, we introduce the key concepts from software architecture research and make explicit the design steps that most software designers in HCI tend to blend in a fuzzy way.
- Building on general concepts and practice from mainstream software engineering, we then present a comparative analysis of the most significant architecture models developed in HCI.

The article will primarily concentrate on the conceptual aspects of architectural design. The reification of conceptual architectures into implementation architectures (Anderson, 2000; Philips, 1999), which rely on the underlying platform and programming tools, will not be addressed.

#### PRINCIPLES OF SOFTWARE ARCHITECTURE

Although "software architecture" is a popular term, it is used in various contexts with different meanings. The IEEE 1471 standard approved in 2000 by the Computer Society, acknowledges the difficulty of eliciting a consensual definition. In this section, we provide a definition based on key reference works in software engineering followed by a short description of current knowledge in software practice: the software development process and its core concepts followed by the representation and evaluation techniques of architectural structures.

### **Definition**

IEEE 1471 defines *architecture* as "the fundamental organization of a system embodied in its components, their relationships to each other and to the environment, and the principles guiding its design and evolution." (IEEE, 2000). In other words, an architecture is the result of a process constrained by the environment. The environment includes the stakeholders, their culture in software quality, the development tools, business requirements, and so on. "Fundamental" denotes issues about the system that are important for a particular stakeholder involved in a particular step of the development process. IEEE 1471 stresses the distinction between an architecture and an architecture description. An « architecture is a concept of a system » (Maier, 2001). It exists without ever being observable. An architectural description is a represention of that concept for others. It is a concrete thing.

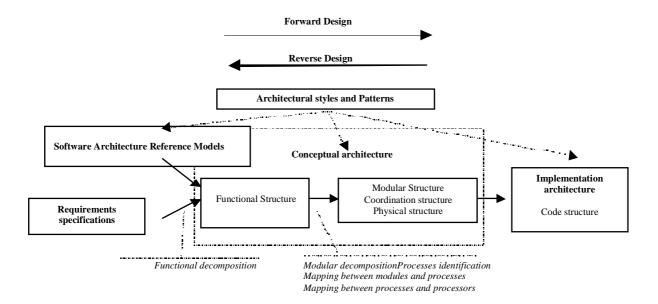
Although similar in the spirit, Bass et al.'s definition is grounded on more operational issues. The architecture of a computing system "is a set of structures which comprise software components, the externally visible properties of these components and the relationships among them" (Bass, 1998 pp. 23). Bass et al.'s definition makes explicit the multiplicity of viewpoints on a particular architecture. A viewpoint corresponds to a structure and a representation that best supports the needs and concerns of the specific step in the development process. As in civil architecture, different blueprints are used to describe the building, serving different purposes for different stakeholders.

The *externally visible properties* of a component define its expected behavior. They express the hypotheses that other components can make on the component. These include the services the component is able to provide, the resources it requires, its performance, as well as its communication and synchronization mechanisms. "Externally visible" implies that a component is an abstraction unit or, from a process-centered perspective, "a unit of independent production, acquisition, and deployment that interact to form a functioning system" (Szyperski, 1997). Depending on the nature of the structure considered in the development process, this unit may be a service, a module, a library, a process, a procedure, an object, an application, an agent, etc. The term "externally visible properties" proposed by Bass et al. is, in our opinion, more precise and more practical than the general term "constraints" which represents "laws the system must observe" (Ellis, 1996).

The *relationships* of a component denote the *connections* that the component may have with other components. The nature of the connections depends on the components and on the structure at hand. A *connector* is the entity that realizes a connection between components (Shaw, 1995). For example, a pipe, a procedure call, a remote method invocation, and a socket are connectors between components of type filters, modules, processes, and distributed objects respectively.

### The development process and architectural concepts

The development process of software architecture covers a set of activities whose nature and ordering depend on the particular system, on the designers' skills, and on the tools available. Some of these activities are performed by hand while dedicated tools support others. In any case, the key activities in software architectural design include: functional and modular decompositions, functions allocation to modules, processes identification, mapping modules with processes and mapping processes with processors. These activities bring to bear architectural design guides such as software architecture reference models, architecture styles and patterns, and result in the production of a conceptual architecture followed by an implementation architecture. Figure 1 shows the design process for software architecture ranging from reference models to implementation architecture.



**Figure 1:** Software architecture reference models, conceptual and implementation architectures in relations to the activities involved in the design process of a software architecture (Functional decomposition, modular decomposition, processes identification, mapping between modules and processes and between processes and processors). The arrows denote a top-down approach. Dotted lines show orthogonal notions involved across the design process (architectural styles and patterns).

The *functional decomposition* of a system consists in expressing the functional requirements of the system into a set of simpler units. The components of this structure are abstraction units that express the functional requirements of the system. Their relations are of the type "exchange data with". For example, the schema shown in Figure 13 is a representation of the functional structure of the system MATIS. The design of a functional structure may be derived from a software architecture reference model.

A *software architecture reference model* is a standard decomposition of known systems into functional components coupled in a well-defined way. For example, a compiler is decomposed into four (possibly five) successive well-defined functions: the lexical, the syntactic, the semantic (and the pragmatic) analyses, followed by code generation. Seeheim and PAC-Amodeus discussed next, serve as reference models in HCI. PAC-Amodeus has been used to devise the functional structure of MATIS.

The *modular decomposition* results in the definition of a static view of the system: the *modular structure*. The components of the structure are modules linked by relations such as

"is a sub-module-of". The decomposition is performed according to software engineering rules and properties such as module dependency minimization. Based on the modular structure, the project manager can dispatch the development of the system to different programming teams. *Function allocation* is closely related to modular decomposition. It consists of allocating the functions of the functional structure to the modules of the modular structure. In other words, this activity results in the definition of the functional coverage of each module. A module may cover multiple functions. Conversely, a function may be distributed across multiple modules.

Whereas the modular structure is a static view of the system, the *coordination structure* provides a dynamic view. The components of the coordination structure are *processes* or *threads* whose relationships express synchronization and concurrency control policies between the processes. *Module allocation* is related to the process decomposition. It consists of allocating the modules of the modular structure to the processes of the coordination structure. Going one-step further, the *physical structure* describes the mapping between the processes and the physical processors.

All together, the functional, the modular, the coordination and the physical structures are different views on the conceptual architecture. The term *conceptual architecture* has been coined to encompass the first set of decisions during the architectural design process (Hofmeister, 2000). A conceptual architecture does not necessarily include all of the structural views described above. Typically, it contains the functional structure. The others views are not necessarily considered in the early phase of the design process, especially when they are conveyed by implementation tools. For example, for groupware applications, Groupkit (Roseman, 1992) and Suite (Dewan, 1990), which embed a physical and a coordination structures, eliminate the problem of performing the process and processor allocations.

The conceptual architecture is then mapped into an implementation architecture. In turn, the *implementation architecture* is defined according to multiple views. The modular structure may be refined in terms of units such as packages, procedures and object classes that depend on the programming tools available. Connectors at one level of description may be refined as substructures of components and connectors. Mappings may be expressed using dedicated languages or performed automatically by the underlying infrastructure. Typically, agent-

based middleware infrastructures support code migration across the network in a transparent way (Bellavista, 2001). Therefore, allocation of agents to processors is not an issue for the user interface developer.

The refinement of a conceptual architecture into implementation structures may be performed in three ways (Anderson, 2000): by applying heuristics as in (Duval, 1999), by using development tools based on reference models such as application frameworks and User Interface generators, or by using parameterized tools such as TCD. TCD, a tool based on the Dragonfly (Anderson, 2000) reference model, maintains the correspondence between the conceptual architecture and the implementational structures of the user interface portion of an interactive system. Tools, such as TCD, support both the forward and reverse engineering processes of the user interface portion of an interactive system. By so doing, they ensure that modifications of the implementational structures are consistent with the conceptual architecture and vice versa.

The notion of *architecture style* is orthogonal to that of a reference model as well as to the notions of conceptual and implementation architectures: the choice of a style comes into play at every step of the design process. A style:

- includes a vocabulary of design elements (e.g., pipes and filters),
- imposes configuration constraints on these elements (e.g., pipes are mono-directional connectors between two filter components), and
- determines a semantic interpretation that gives meaning to the system description (Garlan, 1993).

A style is not an architecture but a guide for devising architectural structures. By analogy with civil architecture, a style can be assimilated to a class of architectures. Just as one refers to the Roman and Gothic styles, similarly, pipes and filters, clients and servers, and layers of abstract machines, are architectural styles. On can find in Shaw and Clement (Shaw, 1997), a preliminary classification of architectural styles.

As demonstrated by (Shaw, 1995), architectural styles have very specific properties. As a result, a single style might not cover all of the system requirements. In architectural design, heterogeneity is a necessary trouble. Heterogeneity has multiple causes:

- It may occur during the reification process. For example, data sharing in a conceptual model may be refined at the implementation level as local storages linked by message passing.
- It may occur within a level of the reification process. Typically, open systems and reusability may bring in heterogeneous styles. For example, the user interface portion of an interactive system is built, partly from existing code with its own style(s) (inherited from toolboxes and interface builders), and partly from fresh code which, in turn, may adopt yet another style.

Heterogeneity supports the ability to choose the style that best fits a particular set of components of the architectural structure. Conversely, heterogeneity may engender incompatibilities such as those observed between communication protocols. We will see how adaptors components can be used to address this problem.

As for the concept of style, the notion of *architectural pattern* is orthogonal to that of reference, conceptual, and implementation architectures. An architectural pattern is a set of micro-architectural structures that corresponds to a recurring micro-design problem. Patterns as introduced by Gamma et al. include: a description of the problem that the pattern covers, conceptual structures that address the problem (e.g., the functional decomposition and a diagram that shows the interactions between the components), heuristic rules for how and when to use the pattern, as well as an implemented example using C++ as a programming tool (Gamma, 1995).

Just like a reference architecture model, an architectural pattern provides a generic solution. At the opposite of a reference architecture model, which addresses a system as a whole, a pattern covers a local problem. A pattern may be governed by a style. Some architectural patterns are applicable at the conceptual level while others address implementation issues. Gamma et al. propose a consistent set of patterns for implementation structures based on the object-oriented style (Gamma, 1995). In this chapter, we will see examples of patterns at the conceptual level for the PAC model.

We now need to discuss how an architecture is made concrete through structural representations.

### **Representations**

A representation supports analysis as well as communication. As an analytic tool, a representation helps the authors to reason about design alternatives, to understand their implications and to evaluate them against requirements criteria. As a communication tool, a representation conveys the result of a design process to other team members. In this case, the representation should be readable and not ambiguous: a wrong interpretation of the description may result in a loss of conformity along the reification process.

As stressed above, an architectural representation is not the architecture of the system. It is the representation of one of the structures of the system. In other word, one should not say « this diagram is the global architecture of the system ». Instead, we should say « this diagram depicts the functional structure of the system » or « the coordination structure of the system », etc.

Boxes-and-Arrows diagrams and informal explanations are commonly used to describe architectural structures. Boxes denote components and arrows correspond to relationships or to connectors between the components. Graphical representations offer intuitive reading but, used without a clear semantics, they may be too informal to be useful. A variety of Architecture Description Languages (ADL) have emerged in the last decade to express the formal composition of components, their relationships and their external properties. A detailed discussion on the nature of ADLs can be found in (Bass, 1998, chap. 12). Formal notations open the way to code generation as well as to the automatic verification of system properties. In some contexts however, the benefits of formal specification may not counter balance the cost of producing a specification. In the following discussion, we will use a boxand-arrow notation and specify their coverage.

As any design product, an architectural solution must be evaluated before coding can take place. Late evaluations may result in a costly system revision.

#### **Evaluation**

A software architecture is neither intrinsically bad nor good. Instead, it is good or bad with regard to a set of properties (i.e., requirements) that the software must satisfy. Technical requirements such as portability and efficiency are not enough to explain a particular

architectural structure: the cultural context of the stakeholders, temporal and human resources allocated to the project, etc. are important ingredients. In addition, these requirements may evolve as the customer achieves greater understanding of the system (Bachman, 2000). Nevertheless, at some point in the development process requirements have to be established. While the application of principled design is widely recognized in the software community, there is very little material to help architectural designers to cope with these major difficulties. SAAM (Software Architecture Analysis Method), a scenario-based method, offers a pragmatic approach to the problem of reasoning about architectural designs (Kazman, 1994; Kazman, 1996; Kazman 1999).

Scenario-based approaches have been used in many areas including the object-oriented community (e.g., Jacobson's use cases) as well as in the HCI community (Carrol, 1995) to test task models and external specifications of the user interface. A SAAM scenario must anticipate the future use of the software and its evolutions. The set of scenarios must cover all of the architectural structures devised for the system as well as the points of view of the first class stakeholders involved in the design process (e.g., the end-user, the developer, the maintainer, etc.). For example, a scenario would express the capacity for the end-user to customize the user interface while minimizing changes in the current version of the system to be delivered in a week. A particular structural solution is analyzed within the context of these scenarios.

In addition to software engineering properties (e.g., portability, efficiency, modifiability), interactive systems are concerned with user-centered properties such as observability, task interleaving, undo-redo features, consistent operation across multiple views, etc. (Gram, 1996). Connections between aspects of usability and architectural design can be found in (Bass, 2001) where scenarios are used to illustrate aspects of usability along with their corresponding architectural patterns.

In summary, software designers must consider multiple perspectives on architectural design. As a result, there is no such thing as "the software architecture of a system". Instead, multiple structures altogether constitute the concrete expression of an architecture. In addition, a software architecture is neither intrinsically bad nor good. Instead, it is good or bad with regard to a set of properties (i.e., requirements) that the software must satisfy. It is

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difficult to find the right balance between multiple sources of requirements, to identify the right level of refinement to reason about system properties (Kazman, 1999), and to cope with heterogeneity. However, software architecture research is maturing with practical methods and tools (Shaw, 2001).

Having presented a summary of the general principles developed in software architecture research, we now consider the particular case of interactive systems. We focus on the most widely used reference models: two seminal models are first introduced followed by a series of models that address an increasing number of requirements. We will thus successively present the Seeheim model and its revisited version, the Arch/slinky model. We will then discuss two multi-agent approaches which explicitly deal with fine-grain modularity and parallelism: MVC and PAC. Although MVC and PAC provide improvements over the foundational Seeheim and Arch models, they suppose an homogeneous world. The PAC-Amodeus model shows how to cope with heterogeneity. All of these models, however, have been devised for single user systems and graphical user interfaces. Their adaptation to groupware and multi-modal interaction are then presented. Finally, we briefly introduce new avenues for context-sensitive interactive systems.

### THE FOUNDATIONS: THE SEEHEIM MODEL

The foundations for interactive systems architecture originated at a workshop in Seeheim, Germany (Pfaff, 1985). The Seeheim model has provided developers with the very first canonical functional decomposition of interactive systems.

As shown in Figure 2, the Application covers the domain-dependent functions and concepts of the system. The Application Interface Model describes the Application semantics from the viewpoint of the user interface: it describes the data structures and the procedures that the Application exports to the user interface as well as constraints on the procedures sequencing. The Presentation defines the behavior of the system as perceived and manipulated by the user. The Dialogue Control is viewed as a mediator between the Application Interface Model and the Presentation. The little box below expresses the possibility for the Application Interface Model to bypass the Dialogue Control in order to improve performance. The Dialogue Control, however, is the initiator of this one-way direct link.

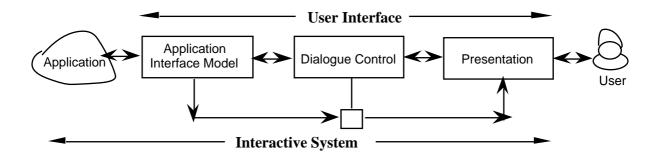


Figure 2: The Seeheim Model.

Portability and modifiability are the two architectural drivers of the Seeheim model. Experience shows that the user interface portion of an interactive system is the most frequent source of modifications. Therefore, the Application Interface Model is a way to preserve the Application from modifications of the user interface.

The model is a framework for pure functional partitioning that opened the way to a large number of interpretations. For example, in the mid-eighties, user-system interaction was primarily viewed as a language-based dialogue (very few User Interface Management Systems were based on the event paradigm). Consequently, the role of each component was roughly described as the semantic, syntactic and lexical aspects of the interaction, and the overall control structure of the system was assimilated as a pipe-line scheme. This view has permitted to apply advanced compilation techniques to the automatic generation of user interfaces. With the advent of direct manipulation, the semantic-syntactic-lexical interpretation of the Seeheim logical partitioning failed at supporting new requirements such as interleaving system feedback with user's inputs. The Arch/Slinky model is a first attempt at addressing this problem.

### THE ARCH/SLINKY MODEL

The Arch model is a revisited Seeheim. As shown in Figure 3, it promotes a functional decomposition similar to that of Seeheim but with a number of improvements: a clearer identification of the level of abstraction of each component, an explicit definition of the data structures exchanged between the components, adaptors between the major components of the structure to improve modifiability and portability, and the slinky meta-model to balance functions allocation across the system. These contributions are discussed next.

### The Functional Components

As in Seeheim, the Application (also called the Functional Core) covers the domain-dependent concepts and functions. At the other extreme, the Interaction Toolkit Component, which is dependent on the actual toolkit used for implementing the look and feel of the interactive system, is in charge of presenting the domain concepts and functions in terms of physical interaction objects (also called widgets and interactors). The keystone of the arch structure is the Dialogue Component whose role consists of regulating task-sequencing. For example, the Dialogue Component ensures that the user executes the task "open document" before performing any editing task. Typically, model-based user interface generators produce the Dialogue Component from the specification of a task model (Paterno', 1994).

#### **Data structures**

Arch has clarified the level of abstractions of the functional components by making explicit the data structures transferred between the boundaries: the domain objects, the logical presentation objects and the physical interaction objects. Domain objects are high-level data structures that model domain-dependent concepts (for example, a real number to model the notion of heat). In the context of this discussion, a domain object is an entity that the designer of the interactive system wishes to make perceivable to, and manipulatable by the user. Logical presentation objects are abstract entities that convey the presentation of domain objects without being dependent on any particular run time toolkit. For example, a "choice" logical presentation object supports the rendering as well as the manipulation of a multivalued domain object. The concrete rendering of a domain object results from the mapping of the logical presentation object to a physical interaction object. For example, the choice logical presentation object can be mapped to the physical pull-down menu of a graphical toolkit.

# The Arch adaptors: the Functional Core Adaptor and the Logical Presentation Adaptor

The iterative nature of user interface development as well as rapid advances in new forms of interactive devices, stress the importance of modifiability and portability. As a result, just like Seeheim, Arch has been shaped for modifiability and portability, but Arch pushes the requirements one step further.

As shown in Figure 3, the major functional components of an interactive system, i.e., the Application, the Dialogue and the Presentation, do not exchange data directly. Instead they

mediate through adaptors: the Functional Core Adaptor and the Logical Presentation Component.

The Functional Core Adaptor (FCA) is intended to accommodate various forms of mismatch between the Functional Core and the user interface of the system. In other word, the FCA can be understood as the *virtual application* layer. As shown in Figure 3, data transfer through the FCA is performed in terms of domain objects. Ideally, domain objects match the user's mental representation of a particular domain concept. It may be the case, however, that the Functional Core, driven by software or hardware considerations, implements a domain concept in a way that is not adequate for the user. Therefore, domain objects of the functional core may need to be adapted. We call this transformation semantic enhancement.

Semantic enhancement (Bass, 1991) may be performed in the FCA by defining domain objects that reorganize information maintained in the Functional Core. The reorganization may take the form of aggregating data structures of the Functional Core into a single domain object or, conversely, segmenting a concept into multiple domain objects. It may also take the form of an extension by adding attributes and operators, which can then be exploited by the other components of the user interface (Coutaz, 1991).

In addition, the Functional Core and the user interface may be implemented with different formalisms. For example, in an information retrieval system, queries are represented as C++ objects in the Dialogue Component while the Functional Core "speaks" SQL. The FCA is then in charge of formalism transformation.

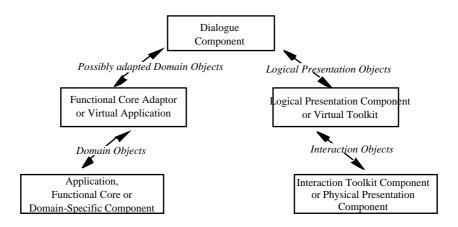
The second adaptor of Arch is the Logical Presentation Component. This component insulates the rendering of domain objects from the actual interaction toolkit of the target platform. It is expressed in terms of the logical presentation objects provided by a *virtual toolkit*. By so doing, switching to a different physical interaction toolkit requires rewriting mapping rules, but the logical presentation objects remain unchanged. AWT (Geary, 1997) and XVT (Rochkind, 1989) are examples of virtual toolkits: they embed the mapping of the logical widgets to the physical widgets of the target machine. Although, these tools satisfy code portability, in practice they do not guarantee consistent behavior of the user interface across platforms. More recently, multi-platform toolkits such as Java Swing (Geary, 1999)

and Ilog Views (Ilog, 1994) tend to alleviate this problem by re-implementing native toolkits behavior for multiple target machines. This way, it is possible to obtain a Windows look and feel on a Macintosh platform.

The two adaptor components minimize the effect of changes but may have an adverse effect on efficiency. It is therefore legitimate to consider the suppression of the adaptors. When efficiency prevails against toolkit portability, then the Logical Presentation Component can be eliminated and the presentation level of the interactive system is directly expressed in a native toolkit. If, the Functional Core provides an "interface" that conforms to the user's requirements, and if it will not evolve in the future, then the Functional Core Adaptor can be scaled down to a simple connector (e.g., a set of procedure calls). The slinky meta-model provides the conceptual means to perform these adjustments.

# The Slinky Meta-model

Slinky (from the child's toy) expresses the capability, for the software designer, to shift functionalities between the components. This feature may be used to accommodate conflicting criteria or to support the evolution of implementation tools. For example, fifteen years ago, the facilities provided by user interface toolkits were restricted to event acquisition loops and low-level graphics primitives. Today, syntactic analysis of user's input is encapsulated within re-usable widgets. Therefore, syntactic analysis has been shifted to the Interaction Toolkit Component. Similarly, if virtual toolkits prevail in the future, then the logical and the physical layers of presentation will be bundled into one component.



**Figure 3:** The Arch Model. Arrows denote data flow between the components. Boxes correspond to functional components. Within a component box, one can find alternative synonyms for the functional coverage of the component.

Today, the Arch model is widely used for devising the overall functional structure of interactive systems. Although a reliable reference for many situations, Arch is not always sufficient for reasoning about a particular architectural solution. Agent-based models, which promote refinement, tend to satisfy this need.

#### AGENT-BASED MODELS

Agent-based models structure an interactive system as a collection of computational units called agents. An *agent* has a state, possesses an expertise, and is capable of initiating and reacting to events. Agents that communicate directly with the user are sometimes called *interactors* or *interaction objects*. An interactor provides the user with a perceptual representation of its internal state. The terms interactor and agent are sometimes used indifferently even if the interactor has no direct interaction with the user. An object is a generic term that covers a computational element with a local state. It can either be viewed as a concept or as the technical structure that underpins the object-oriented programming paradigm. In the following discussion, we will consider an object as a generic concept.

Our view of the concept of agent is one perspective of the more general definition used in distributed Artificial Intelligence (A.I.). In A.I., agents may be cognitive or reactive depending mainly on their reasoning and knowledge representation capabilities (Ferber, 1995). A cognitive agent is enriched with inference and decision making mechanisms to satisfy goals. At the opposite, a reactive agent has a limited computational capacity to process stimuli. It has no goal per se but a competence coded (or specified) explicitly by the human designer. In current interactive systems, agents are reactive. In the following discussion, we will not make the distinction between cognitive and reactive agents.

All of the agent-based models developed in HCI follow the same principle as Seeheim and Arch but they do so at a fine grain. Whereas Seeheim and Arch structure a complete interactive system as three fundamental functions (Functional Core, Dialogue, and Presentation), agent-models structure an interactive system as a collection of cooperating agents where every agent is a mini-Seeheim-like structure. A number of agent-based styles

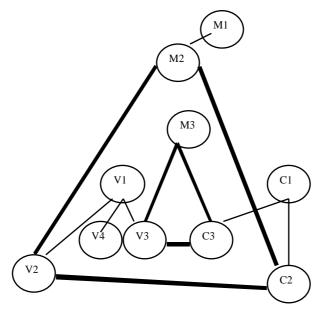
and tools have been developed along these lines: MVC (Krasner, 1988), PAC (Coutaz, 1987), Clock (Graham, 1997), C2 (Taylor, 1996), the LIM (Paterno', 1994) and York (Duke, 1993; Duke, 1994) interactors.

In the following sections, we will illustrate agent-based models with PAC and MVC for their their early development and acceptance. We will then discuss the benefits and the drawbacks of multi-agent approaches.

#### **MVC** and **PAC**

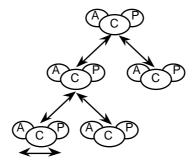
In MVC (Model, View Controller), an agent is modeled along three functional perspectives: the Model, the View, and the Controller. A Model defines the abstract competence of the agent (i.e., its functional core). The View defines the perceivable behavior of the agent for output. The Controller denotes the perceivable behavior of the agent for inputs. The View and the Controller cover the user interface of the agent, that is, its overall perceivable behavior with regard to the user. MVC has influenced a number of architectural models such as Chiron-2 also known as C2 (Taylor, 1996) and Clock (Graham, 1996).

Figure 4 shows the implementation of the MVC model in the Smalltalk environment. One can observe that Controllers and Views are implemented as hierarchies of Smalltalk classes. Models, which are domain-dependent, are organized according to the domain requirements. They may, or may not, be organized hierarchically. An agent is instantiated by connectors between a Model, a View and a Controller. Connectors are implemented as method invocation and anonymous callbacks. Typically, the Controller translates the user's actions into method calls on the Model. The Model broadcasts a notification to the View and the Controller that its state has changed. The View queries the Model to determine the exact change and upon reception of a response, updates the display accordingly. The same protocol holds for the Controller. Whereas the View and the Controller have an explicit knowledge of the Model, the Model is not wired to the View and to the Controller. Instead, the Model offers a registration mechanism so that multiple Views and Controllers can express their interest in the Model through anonymous callbacks. This allows an easy implementation of multiple renderings of the same domain concept either on the same workstation or across multiple workstations as in groupware applications. The View and the Controller can communicate directly through method invocations.



**Figure 4**: Illustration of the implementation structure of the Smalltalk environment based on MVC. Thick lines denote invocation methods and callbacks. Thin lines correspond to class-subclass relationships. The diagram shows 3 Models, M1, M2, M3 where M2 is a subclass of M1. Views V2, V3, V4 are subclasses of View V1, and controllers C2 and C3 are subclasses of Controller C1. The invocation methods and callbacks make concrete two MVC agents: (M2, V2, C2) and (M3, V3, C3).

In PAC (Presentation, Abstraction, Control), an agent has a Presentation (i.e., its perceivable input and output behavior), an Abstraction (i.e., its functional core), and a Control to express multiple forms of dependencies. The Control of an agent is in charge of communicating with other agents as well as of expressing dependencies between the Abstraction and the Presentation facets of the agent. In the PAC style, no agent Abstraction is authorized to communicate directly with its corresponding Presentation and vice versa. In PAC, dependencies of any sort are conveyed via Controls. Controls serve as the glue mechanism to express coordination as well as formalism transformations between the abstract and the concrete perspectives. As shown in Figure 5, the flow of information between agents transit through the Controls in a hierarchical way. The connectors of a PAC hierarchy express communication relationships. They do not represent class-subclass relations as in the object-oriented implementation of the MVC model shown in Figure 4.



**Figure 5:** In PAC, the interactive system is modeled as a set of PAC agents whose communication scheme forms a hierarchy. Arrows show the information flow. The Control of an agent mediates between its Abstraction and Presentation facets and serves inter-agent communication.

Figure 6 shows a PAC agent that renders the notion of heat metaphorically in the form of a burner. The Presentation of the agent is in charge of drawing the picture of a burner as well as of interpreting user's actions. User's actions include dragging the burner around with the mouse or clicking the switch to turn the burner on or off. A mouse click on the switch has the following effects: the Presentation of the agent updates the rendering of the switch to express that the burner is on or off, then sends a notification to the Control. In turn, the Control which maintains the dependencies between the switch and the IsOn boolean variable, notifies the Abstraction facet of a change for IsOn. The Abstraction, the functional core of the burner agent, computes the heat according to the laws of thermodynamics. As the heat crosses a threshold, the Abstraction notifies the Control of the fact. In turn, the Control, which maintains the dependencies between the threshold values and the height of effluvia, notifies the Presentation that effluvia should be redrawn. The Presentation changes the rendering of the effluvia accordingly. As shown by this simple example, an agent is a micro-interactive system, thus the generic term « interactor» introduced above.

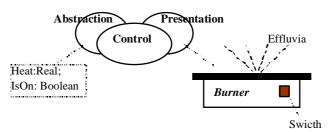


Figure 6: The Burner PAC agent.

In MVC, The Abstraction facet of the burner is implemented as an instance of a Model. Mouse clicks are interpreted by an instance of a Controller and a View instance is in charge of drawing the picture of the burner including providing continuous visual feedback as the user acts on the View. Decoupling input from output processing offers a finer grain of modifiability of the perceivable behavior but may result in a loss of efficiency. For example, if moving the picture of the burner on the screen has no semantic side effect, then refreshing the screen does not require that the Model be notified. This example shows that coupling between input and output processing can be quite close. As a result, many MVC variants, such as Swing (Geary, 1999), implement view-controller as combined objects.

In summary, MVC decouples input techniques from outputs, whereas PAC concentrates them in the notion of Presentation. Contrary to PAC, MVC has no explicit notion of mediator for expressing the relationships and the co-ordination between agents. Different functional decompositions entail distinct architectural properties. Therefore, given a set of criteria for a particular interactive system, an agent style (or a set of styles) may be more appropriate than others.

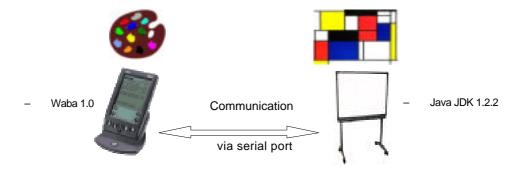
### Benefits from agent-based models

Agent-based models stress a highly parallel modular organization and distribute the state of the interaction among a collection of co-operating units. Their functional decomposition can be exploited in multiple ways to convey a variety of distributed services. Modularity, parallelism and distribution are convenient mechanisms for supporting the iterative design of user interfaces, for implementing physically distributed user interfaces and application, and for handling multi-threaded dialogues:

- An agent defines the *unit for functional modularity*. It is thus possible to modify its internal behavior without endangering the rest of the system.
- An agent can be associated to one *thread of the user's activity*. Since an agent maintains a local state, the interaction between the user and the agent can be suspended and resumed at the user's will. When a thread of activity is too complex or too rich to be represented by a single agent, it is then possible to use a collection of co-operating agents.
- The *multi-faceted structure* of an agent can be exploited in different ways and can be enriched in as many ways as desired. As mentioned above, an architecture expresses what

is important. For example, in the AMF-C model (Multifaceted-Agent for Collaboration), PAC agents are augmented with facets to provide the user with local help and to log significant events to perform usability testing from observed behavior (Tarpin-Bernard, 1998). For groupware systems, PAC\* refines PAC agents according to the Production-Communication-Coordination services covered by groupware (Calvary, 1997). Domain-dependent knowledge can migrate within the user interface portion to accommodate efficiency and the need for immediate semantic feedback. Typically the Abstraction/Model facets of PAC and MVC are the appropriate location for conveying domain-dependent concepts. This capacity will be illustrated further with PAC-Amodeus.

An agent defines the *unit for processing*. It can then migrate across the network. This capacity opens the way to multi-surface interaction as exemplified by the Hyper-palette (Ayatsuka, 2000) and the Pick-and-Drop (Rekimoto, 1997) systems. Figure 7 shows another example of multi-surface interaction inspired from the "Painter metaphor". A Personal Digital Assistant (PDA), hold in the non-dominant hand, mimics the palette of graphical tools. Meanwhile a PC-controlled large white board serves as the drawing surface for the dominant hand (Lachenal, 2000). Here, the user interface of the "Multi-surface Paint Program" is physically distributed across multiple processors.



**Figure 7.** The Painter metaphor using a Palm as the tools palette and an electronic white board as a drawing area.

Figure 8 shows the functional structure of the system in terms of PAC agents. The palette agent runs on the PalmOS system, whereas the Drawing area agent is assigned to the PC. The top-level cement agent, which combines user's actions distributed over the sibling agents, is replicated on the two processors. Conceptually, the agents can migrate

between the two platforms as the user reconfigures the user interface dynamically. Typically, the user can bring the palette back and forth between the PDA and the PC.

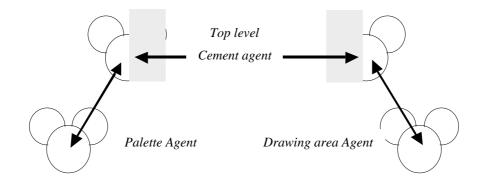


Figure 8. The functional structure of the Multisurface Paint Program in terms of PAC agents.

In addition to satisfying requirements for better user interfaces, agent models can easily be refined in terms of *object-oriented languages*: an object class defines a category of agents where class operators and attributes respectively model the instruction set and the state of an agent category, and where an event class denotes a method. An object and an agent are both highly specialized processing units, and both decide about their own state: a state is not manipulated by others but results from processing triggered by others. The subclassing mechanism provided by object-oriented languages can be usefully exploited to modify a user interface without changing existing code.

### Drawbacks of agent-based models

In practice, agent-based models are not necessarily easy to apply nor do they necessarily comply with real world constraints such as heterogenity.

Difficulty in devising an agent-based architecture. The difficulty in devising an agent-based structure may come from the absence of an explicit set of levels of abstraction such as those of Arch. The Arch layers are sound landmarks that can be exploited to chunk the design process. At the opposite, with agent-based models, information acquired by agents is transformed by a population of agents before reaching the functional core of the system. In the other direction, agents concretize information from the functional core into perceivable behavior. The successive steps of such input and output transformations, called respectively the interpretation function and the rendering function, are not structured in agent-based

models as clear levels of abstraction. Heuristic rules and patterns, such as those presented in the next section tend to alleviate this difficulty.

Homogeneity. Agent-based models structure interactive systems in an homogeneous way: all of the functional aspects of the system are expressed using a single style. This homogeneity is desirable when the designer's goal is to reason about the system properties. Homogeneity is also acceptable when the style is conveyed by the implementation tool such as MVC within the Smalltalk development environment and when the entire system can be developed with the same tool. As mentioned in a previous section, heterogeneity is generally unavoidable. PAC-Amodeus presented next has been designed to cope with this problem.

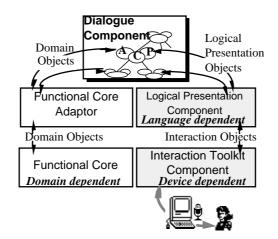
### **PAC-AMODEUS**

PAC-Amodeus uses the Arch model as the foundation for the functional partitioning of an interactive system and populates the Dialogue Component with PAC agents. We first present the principles of PAC-Amodeus, then provide a set of heuristic rules to devise the appropriate set of agents and illustrate the rules with a real case system: MATIS.

### The principles

As discussed above, Arch supports the existence of reusable code (e.g., legacy Functional Cores) and defines two adaptors for accommodating style heterogeneity and for anticipating changes. On the other hand, Arch does not provide any guidance about how to structure the Dialogue Component in a way that is compatible with the user's task requirements. PAC (or any agent-based model) supports task interleaving as well as multiple grains of task decomposition but fails at making explicit the link with existing styles. PAC-Amodeus gathers the best of the two worlds. Figure 9 shows the resulting functional breakdown.

As in Arch, PAC-Amodeus offers two-way information flows between the primary components of the arch. The nature of the connectors between the functional boundaries is left opened since it depends heavily on the case at hand. Within the Dialogue Component, we observe two information flows: the hierarchical traversal of PAC agents, and in contrast with the original PAC style, direct horizontal communications with the Functional Core Adaptor and the Logical Presentation component.



**Figure 9:** The PAC-Amodeus functional components.

A PAC agent may be related to the Functional Core Adaptor (FCA) and to the Logical Presentation Component through its Abstraction and Presentation facets respectively. Its Abstraction facet may be connected to one or multiple domain objects of the FCA (or of the Functional Core if the FCA does not exist). Similarly, a Presentation facet may be connected to one or multiple Logical Presentation objects of the Logical Presentation Component (or to interaction objects of the Interaction Toolkit Component, if the Logical Presentation Component does not exist). Depending on the case at hand, connectors are implemented as procedure calls, as pointers, or as any other protocol suitable for the system requirements. The design rationale for the "horizontal flow" is performance. Abstract information from the FCA may not need additional processing from the parent agents. Similar reasoning holds for the presentation part. In this situation, traversing the PAC hierarchy would not only be time consuming but useless.

### Heuristics for devising agents

There are two approaches to the identification of agents hierarchies. Nigay advocates a bottom-up analysis (Nigay, 1991), whereas Paternò (Paternò, 1999) as well as Calvary et al. promote a top-down schema (Calvary, 2001). The bottom-up approach relies on the availability of the external specifications of the interactive system. In the context of this discussion, "external specifications" denote the description of the user interface in terms of windows and presentation objects, along with their dynamic relationships. At the opposite, the top-down approach consists of deriving the agent hierarchy from the task model. A task model is a tree structure that expresses tasks (or user's goals) in terms of subtasks. The two

approaches are equivalent provided that the external specifications are conformant with the task model. In the following, we present a subset of the heuristics developed by Nigay for PAC agents, but any agent-based model would apply. The complete list can be found in (Coutaz, 2001).

# Rule 1: Model a "main-dialogue-thread window" as an agent.

A main-dialogue-thread window defines a logical workspace for performing a set of related tasks. Generally speaking, a window is a rendering surface for displaying information on the physical screen. A distinction should be made between a main-dialogue-thread window and convenience windows, such as dialogue boxes and forms, used in micro-dialogues to inform the user that an abnormal condition has occurred. Convenience windows, which are implemented as toolkit widgets, are not agent but are part of the presentation of an agent.

### Rule 2: Model the editable workspace of a window as an agent.

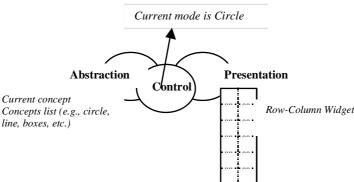
A window may contain an area where the user can edit domain concepts. In this case, this area should be modeled as a "workspace" agent. A workspace agent is responsible for interpreting (1) the user actions on the background of the window, (2) the user actions on the physical representations of the editable concepts when these concepts are not managed by any special purpose agent, (3) messages from child agents when these agents represent editable concepts. In addition, a workspace agent may be in charge of maintaining graphical links to express logical relationships between the editable concepts. In summary, a workspace agent has the competence of a manager of a set of concepts. At the opposite, a non-editable area of a set of concepts is not modeled as an agent. It is part of the presentation of the "main-dialogue-thread window" agent which displays these concepts.

### Rule 3: Model a tool palette as an agent.

It is often the case that the user interface presents a list of the concepts classes from which the user can create instances. For example, a drawing editor includes classes circle, line, rectangle, and so forth. In general, these classes are gathered into palettes or tear-off menus. Let's call such presentation techniques "tool palettes". Tool palette agents provide a good basis for extensibility, reusability and modifiability. Note that we must make a distinction between tool palette agents, which render classes of concepts, and main-dialogue-thread-window agents or workspace agents, which represent instances of concepts.

As shown in Figure 10, the Abstraction facet of a tool palette agent contains the list of the classes of instanciable concepts. In general, the Presentation facet of a tool palette agent is built from widgets offered by the Interaction Toolkit Component. It is in charge of the local lexical feedback when user actions occur on the physical representation of the concept classes

(e.g., reverse video of the selected icon). These actions are then passed to the Control facet. The Control facet of a tool palette agent maps user actions to the list maintained in the abstraction facet. It transforms these actions into a message whose level of abstraction is enriched (e.g., a mouse click on the "circle" icon is translated into the message "current editing mode is circle").

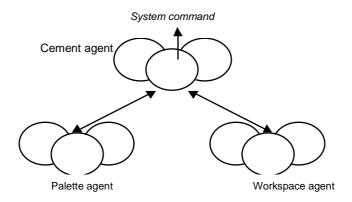


**Figure 10:** The tool palette agent.

Rule 4: An agent is introduced to synthesize actions distributed over multiple agents.

Windows agents are related with a "syntactic link" when a set of user actions distributed over these windows can be synthesized into a higher abstraction. For example, to draw a circle, the user selects the "circle" icon in the tool palette agent, then draws the shape in the workspace agent. These distributed actions are synthesized by a cement agent into a higher abstraction (i.e., the command "create circle"). This agent, which maintains a syntactic link between its subagents, is called a "cement agent". More generally, the cement agent is in charge of expressing any kind of dependency between the siblings. Typically, selecting the "circle" icon in the palette agent has a side-effect on the drawing area agent: the cursor shape of the drawing area must reflects the current drawing mode. In order to minimize the coupling between the palette and the drawing area, the notification is performed through the cement agent.

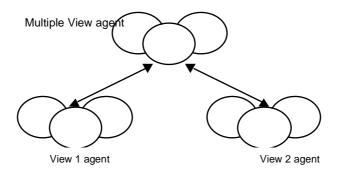
Figure 11 shows the "cement agent" pattern.



**Figure 11:** The Cement agent pattern. User's actions distributed over multiple agents are synthesized as a higher level of abstraction message (typically a system command) by a cement agent. The cement agent acts as a mediator between the siblings which have no mutual explicit knowledge. In the example, the tools palette agent and the workspace mediate through the cement agent.

### Rule 5: Use an agent to maintain visual consistency between multiple views.

If multiple views of the same concept are allowed and if each view is modeled as an agent, then a Multiple View parent agent is introduced to express the logical link between the children view agents. Any user's action with semantic and visual side effect on a view agent is reported by the view agent to its parent, the Multiple View agent, which in turn broadcasts the update to the other siblings. Figure 12 shows the multiple view pattern.



**Figure 12:** The multiple view agent pattern. The two agents (View 1 and View2) whose rendering must be kept synchronized are updated through the multiple view agent.

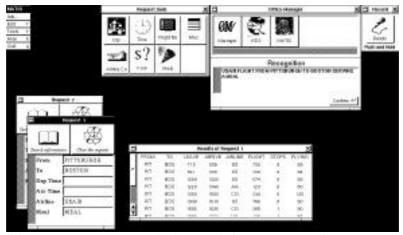
### Rule 6: Model a complex concept as an agent.

A complex concept may be either a compound concept, or it may have a structured perceivable representation, or it may have multiple renderings.

- 1. A compound concept is built from sub-concepts, and this construction must be made perceivable as is to the user. For example, a domain-concept modeled as a tree data structure must be rendered graphically as a tree. In this case, a tree of agents may be an appropriate way to model the complex concept.
- 2. When the rendering of a concept involves packaging a number of simpler units, then it is appropriate to model it as an agent. For example, the concept of wall, whose presentation is built up from a line, a hot-spot (to select it), and a popup menu (to invoke an operation on the wall), can be modeled as an agent.
- 3. An elementary concept may be rendered in multiple locations. In addition, each presentation may be different. For example, the domain concept temperature is represented as a number, or a thermometer or as a plot. This concept, although simple, should be modeled as an agent.

### **Illustration: MATIS**

MATIS (Multimodal Airline Travel Information System) allows a user to retrieve information about flight schedules using speech, direct manipulation, keyboard and mouse, or a combination of these techniques (Nigay, 1993). For example, using a single modality, the user can say "show me the USAir flights from Boston to Pittsburgh" or fill in a form using the keyboard or selecting menu items with the mouse in the Request Tools palette. The user can also combine speech and gesture as in "show me the USAir flights from Boston to this city" along with the selection of 'Pittsburgh' with the mouse. In addition, the system is able to support multithreading: a MATIS user can disengage from a partially formulated request, start a new one, and return to the pending request. Figure 13 illustrates this feature: two requests (bottom left of the screen) are currently being formulated. To make an old request active, the user has to select the corresponding window. The request will come to the front and will constitute the new current context for interaction.



**Figure 13:** A View of the MATIS system. At the bottom left, two request forms are being filled in an interleaved way. At the bottom right, a result table obtained from a previous request. At the top, and from left to right: the Request Tools palette to select predefined list of items (e.g., city names); the office manager window used by the system to display recognized sentences. This window is also used by the user to type sentences in natural language.

Figure 14 illustrates the corresponding agents hierarchy. The request forms are workspaces. Therefore, rule 2 applies and every request form is modeled as an agent. The same rationale applies to result tables. The Request Tool is a palette of concepts: Rule 3 applies and the Request Tool is represented as an agent. The Recognition window is used by the system for displaying the recognized sentence. It is also editable by the user when typing natural sentences. Therefore, Rule 2 applies. At the top of the hierarchy, a cement agent controls the dependencies between the siblings. In particular, inputs from the Recognition window agent provokes the current request form to be updated with the relevant information (Rule 4 applies). When a request form is complete, a command message is sent to the functional core (i.e., the data base of flight schedules).

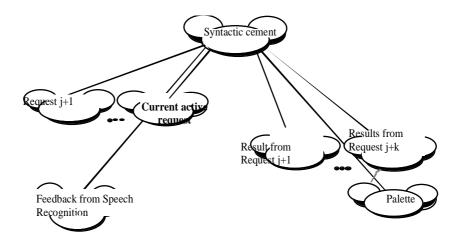


Figure 14: The PAC hierarchy for the MATIS system.

MATIS is an example of multimodal system. In the next section we discuss issues that are specific to the software design of multimodal interaction.

### **MULTIMODAL USER INTERFACES**

A multimodal system supports communication with the user through different modalities such as voice, gesture, and typing. Literally, "multi" refers to "more than one" and the term "modal" may cover the notion of "modality" as well as that of "mode".

- Modality refers to the type of communication channel used to convey or acquire information. It also covers the way an idea is expressed or perceived, or the manner an action is performed.
- Mode refers to a state which determines the way information is interpreted to extract or convey meaning.

In a communication act, whether it be between humans or between a computer system and a user, both the notions of modality and mode come into play. The modality defines the type of data exchanged whereas the mode determines the context in which the data is interpreted. Thus, if we take a system-centered view, multimodality is the capacity of the system to communicate with a user along different types of communication channels and to extract and convey meaning automatically. We observe that both multimedia and multimodal systems use multiple communication channels. But in addition, a multimodal system is able to automatically model the content of the information at a high level of abstraction. A multimodal system strives for meaning.

Having presented the essence of multimodal interaction, we present the requirements that are relevent to the software architect, then provide examples of architectural frameworks that support these requirements.

# Requirements

In addition to meaning, parallelism, data fusion and time are important features in the design of a multimodal user interface (Nigay, 1995; Oviatt et al. 2000).

Interaction techniques may be used *concurrently or sequentially*. This dimension covers the absence or presence of parallelism at the user interface. A system that supports "Parallel use" allows the user to employ multiple modalities simultaneously. Conversely, a system characterized by the sequential use of modalities, forces the user to use the modalities one after another. For example, in MATIS, the user would fill in a request form using the mouse while uttering sentences. Sequentiality would force the user to use only one modality at a time. Concurrency may lead to redundancy. For example, the user might utter « flight from Pittsburgh to Boston » while selecting Pittsburgh or Boston with the mouse. The system must then be able to decide whether the user is referring to the same request or building another request.

Fusion covers the combination of data from different communication channels as in the « put that there » paradigm. The absence of fusion is called "independent" whereas the presence is referred to as "combined" or complementary (Coutaz, 1995). Deictic expressions, characterised by cross-modality references, are examples of complementarity. A MATIS user can utter the sentence "flights to this city" (or simply "flights to") and select a city name on the screen. Here, the spoken sentence specifies the focus of interest (i.e., the destination of the trip) while the mouse selection denotes a location. These two modalities complement each other and must be combined to reach the intended goal. Note that in the absence of parallelism at the user interface, the mouse click must not be performed while the oral sentence is produced.

From this short presentation of the requirements, we observe that time is a first class constraint in the implementation of multimodal user interfaces. Uncertainty is another important issue that will not be addressed here.

### **Architectural frameworks**

Since Bolt's original « Put that there » concept demonstration (Bolt, 1980), considerable efforts have been made in developing architectural frameworks (Oviatt, 2000). One approach is inspired from the centralized blackboard style where a multitude of components run in parallel and communicate through a central facilitator. These components include gesture recognition and gesture understanding, speech recognition and natural language processing, dialogue and context managements, multimodal integration, and application. The multimodal integration agent decides whether and how long to wait for results from other modalities based on temporal constraints. It then attempts to fuse the fragments into meaningful messages back to the facilitator. QuickSet is organized according to this multi-agent model where agents communicate using a dedicated communication language based on Horn clauses (Cohen, 1994).

Figure 15 shows another view based on the structural decomposition of PAC-Amodeus. Here, the boundaries between the Interaction Toolkit layer and the Logical Presentation Layers are defined in relation to the tools available for implementing multimodal interaction as well as in relation to the notions of physical device and interaction language:

- A *physical device* is an artefact of the system that acquires (input device) or delivers (output device) information. Examples of devices include the keyboard, the mouse, microphone and screen.
- An *interaction language* defines a set of well-formed expressions (i.e., a conventional assembly of symbols) that convey meaning. The generation of a symbol, or a set of symbols, results from actions on physical devices. In MATIS, examples of interaction languages include pseudo-natural language and direct manipulation.
- A modality is the coupling of a physical device with an interaction language.

With these definitions in mind, the following rules serve as drivers to allocate functionality to the user interface components of the Arch:

- the Interaction Toolkit Component should be device dependent,
- the Logical Presentation Component should be device independent but interaction language dependent,
- the Dialogue Component should be both device and interaction language independent (i.e., modality independent). However, "modality independence" does not mean that the

33

Dialogue Component is ignorant of the modalities. It means that separation of concerns should be applied: processing the language and/or device levels of interaction should not appear in the Dialogue Controller.

Figure 15 illustrates the application of PAC-Amodeus to the software design of MATIS. The Interaction Toolkit Component hosts two components inherited from the underlying platform: (1) The NeXTSTEP event handler and graphics machine, and (2) the Sphinx speech recognizer which produces character strings for recognized spoken utterances (Lunati, 1991). Mouse-key events, graphics primitives, and Sphinx character strings are the interaction objects exchanged with the Logical Presentation Component.

In turn, the Logical Presentation Component is split into two main parts: the graphics objects (used for both input and output) and the Natural Language (NL) parser (used for input only). Graphics objects result from the code generation performed by Interface Builder. The Sphinx parser analyzes strings received from the Interaction Toolkit using a grammar that defines the "NL" interaction language. The Logical Presentation is no longer dependent on devices, but processes information using knowledge about interaction languages.

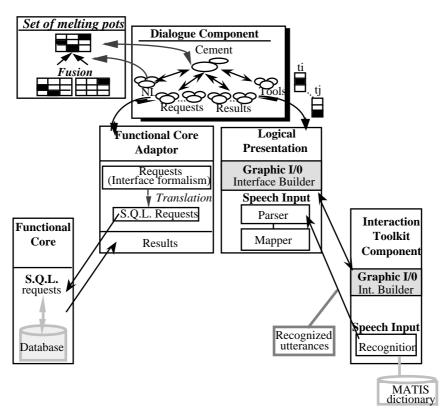


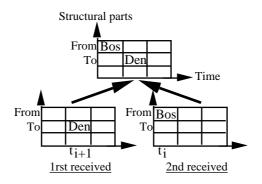
Figure 15: PAC-Amodeus applied to multimodal interaction. Illustration with MATIS.

At the other end of the spectrum, the Functional Core hosts the database of American cities, airline companies, flight numbers, departure and arrival times, etc. SQL requests are required to access information stored in the database. The Functional Core Adaptor operates as a translator between the SQL formalism and the data structures used in the Dialogue Component. It also manages inter-process communication between the database and the MATIS user interface.

Having presented the overall structure of PAC-Amodeus, we need now to address the problem of data fusion. Fusion occurs at every level of the arch components. For example, within the Interaction Toolkit Component, typing the option key along with another key is combined into one single event. Here, we are concerned with data fusion that occurs within the Dialogue Component.

Within the Dialogue Component, data fusion is performed at a high level of abstraction (i.e., at the command or task level) by PAC agents. As shown in Figure 15, every PAC agent has access to a fusion engine through its Control facet. This shared service can be viewed either as a reusable technical solution (i.e., a skeleton) or as a third dimension of the architectural model.

Fusion is performed on the presentation objects received from the Logical Presentation Component. These objects obey to a uniform format: the melting pot. As shown in Figures 16, a melting pot is a 2-D structure. On the vertical axis, the "structural parts" model the composition of the domain objects that the Dialogue Component is able to handle. For example, request slots such as destination and time departure, are the structural parts of the domain objects that the Dialogue Component handles for MATIS. Events generated by user's actions are abstracted through the Interaction Toolkit and the Logical Presentation and mapped onto the structural parts of the melting pots. In addition, Interaction Toolkit events are time-stamped. An event mapped with the structural parts of a melting pot defines a new column along the temporal axis. The melting pot columns are the units of exchange between the Logical Presentation and the Presentation facets of the PAC agents.



**Figure 16:** Fusion of two melting pots.

The structural decomposition of a melting pot is described in a declarative way outside the engine. By so doing, the fusion mechanism is domain independent: structures that rely on the domain are not "code-wired". They are used as parameters for the fusion engine. Figure 16 illustrates the effect of a fusion on two melting pots: at time  $t_i$ , a MATIS user has uttered the sentence "Flights from Boston to this city" while selecting "Denver" with the mouse at  $t_{i+1}$ . The melting pot on the bottom left of Figure 16 is generated by the mouse selection action. The speech act triggers the creation of the bottom right melting pot: the slot "from" is filled in with the value "Boston". The fusion engine combines the two melting pots into a new one where the departure and destination slots are both specified. The new combined melting pot is delivered to the calling agent. If the callee is a leaf agent, additional local processing may be performed with possibly local feedback via the Presentation facet before the melting pot is sent higher in the hierarchy. In addition, if the Abstract facet of the agent is coupled with the Functional Core Adaptor, the Functional Core Adaptor is warned about the agent's state change.

The criteria for triggering fusion are threefold: the logical complementarity of melting pots, time proximity (to cope with simultaneous usage of multiple modalities), and context. The detailed description of the algorithm can be found in (Nigay, 1995).

Multimodal interaction is a very active area of research for which no architectural reference model has emerged yet. Currently, researchers are more concerned with uncertainty and robustness issues than with the sound development of architectural models.

### ARCHITECTURE MODELING FOR GROUPWARE

Software architecture modeling for groupware must accommodate a large variety of requirements ranging from distributed systems and traditional HCI to more novel issues related to CSCW. The diversity and the novelty of the technical problems explain both the profusion of ad-hoc models and the lack of canonical models that would demonstrate sufficient genericity and coverage. In the following discussion, the coverage of an architectural model denotes its capacity to address the functional aspects of multi-user systems. The "clover model", presented next, provides a high level partitioning for reasoning about the classes of functions a groupware may support. Using functional coverage as a criterion, we then analyze two significant models applicable to groupware systems: Dewan's model based on a layer style and ALV based on an agent style.

#### The Clover model

As shown in Figure 17 a), a groupware system covers three domain specific functions: production, coordination and communication (Calvary, 1997).

- The production space denotes the set of domain objects that model the multi-user elaboration of common artefacts such as documents, or that motivate a common undertaking such as flying an airplane between two places. Typically, shared editors support the production space.
- The coordination space covers activities dependencies including temporal relationships between the multi-user activities. Workflow systems are primarily concerned with coordination.
- The communication space supports person-to-person communication. Email and mediaspaces (Coutaz, 1998; Dourish, 1992; Tang, 1994) are examples of systems designed for supporting computer-mediated communication either asynchronously or synchronously.

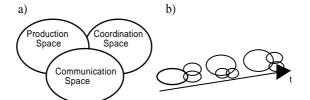


Figure 17: a) Groupware as a "functional clover" and b) its slinky property.

The notions of production and coordination spaces correspond to Ellis' ontological and coordination models while the communication space complements Ellis' view of the functional decomposition of groupware (Ellis, 1994). Contrary to Ellis's model, user interface

issues do not constitute a third functional aspect of groupware. Instead, it is orthogonal to *all* functional aspects of groupware. As for any domain specific function, the services provided by each of the three functional spaces must be accessible and observable through an appropriate user interface.

Interestingly, the relative importance of the three functional spaces depends on the particular groupware system at hand and may vary over time. Typically, shared editors favor production whereas communication functions are first class issues in mediaspaces. In addition, this functional (slinky) shift may vary over time (see Figure 17b). For example, at some point in the group activity, coordination is the focus of attention, possibly using computer-mediated communication to plan future common production.

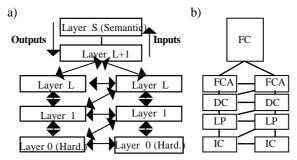
### Dewan's model

The "generic multi-user architecture" model proposed by Dewan (Dewan, 1999) structures a groupware system into a variable number of levels of abstraction ranging from the domain specific level to the hardware level. Layers shared between users form the base of the system (e.g., layers S to L+1 in Figure 18a). At some point, the base gives rise to branches which are replicated for every user (see layers L to 0 in Figure 18a). Information flow between layers occurs vertically between adjacent layers along the input and output axis as well as horizontally between peer and non peer replicated layers for synchronizing states.

Dewan's model can be seen as an extension of Patterson's "zipper model" (Patterson, 1994): when a layer is replicated, all layers below it are necessarily replicated. This hypothesis does not comply with situations where multiple users, like in MMM (Bier, 1991), share the same physical workstation. On the other hand, this model offers a good basis for implementing various forms of coupling as well as for allocating functions to processes (e.g., reasoning about the granularity of parallelism, replication and distribution). For example, one can choose to execute the base and each branch within distinct processes. Similarly, without any automatic support from the underlying platform, the model helps reasoning about allocating processes to processors.

Genericity in Dewan's model comes from the notion of layer whose functional role and number can be adapted to the case at hand. A layer can be viewed as a level of abstraction or as a service that overlaps with other services as in SLICE (Fekete, 1996). Figure 18b) shows

an instantiation of Dewan's model using the functional layers of Arch. Although generic, Dewan's model does not convey any structuring mechanism to reason about the functional aspects of the clover model.



**Figure 18:** a) Dewan's generic architecture for multi-user systems. Layers S to L+1 are common to all users and not replicated. Layers L to 0 are replicated. Arrows denote information flow: the horizontal ones express the existence of some coupling between peer layers; the diagonal ones denote some coupling between non peer components. b) An instantiation of Dewan's model using the functional layers of Arch.

### The ALV model

As shown in Figure 19, ALV associates a personal interface component, a View, to every user and uses Link components to connect views to a shared Abstraction (Hill, 1992). Links are in charge of expressing constraints between the views and the shared abstraction and of maintaining their mutual dependencies by a bidirectional propagation of events. The Rendez-Vous language has been defined for expressing two-ways constraints between a View and the Abstraction (Hill, 1994). Clearly, ALV addresses synchronous multi-user systems based on a centralized shared functional core. ALV can be seen as an instantiation of Dewan's model using three layers where the semantic level is mapped to the shared abstraction and where branches are comprised of links and views. Experimental evidence indicates that ALV primarily covers the production space based on direct manipulation and, to a lower extent, addresses the coordination space.

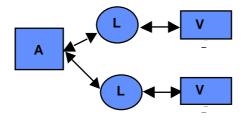


Figure 19: The ALV model.

#### **Discussion**

As for multimodal interaction, a large number of groupware applications are emerging bringing in new requirements. Currently, groupware architectural modeling needs to integrate a wide spectrum of knowledge including the well-established GUI technology and the work developed in distributed systems. It is our opinion than with an appropriate underlying middleware infrastructure, many of the functionalities groupware people are currently facing will be incorporated for free in the infrastructure. As a result, reference models developed for single-user applications will be directly applicable.

#### **CONCLUSION**

Architectural modeling is becoming a central problem for large, complex systems. Although interface builders tend to alleviate the problem, they are limited in scope and apply to mundane cases for which the user interface is often a second class component. Architecture design of user interfaces is not a luxury but a necessity and needs better support.

Although conceptual architecture modeling for interactive systems has been experimented in length for the past 10 years, additional requirements are emerging with the need for ubiquitous access to information processing, with the success of new consumer devices such as pocket computers and wireless networks. In particular, user interfaces need to accommodate the variability of a large set of interactional devices while preserving usability. The plasticity (Thevenin, 1999; Calvary, 2001) of user interfaces is the next challenge software architecture modelers need to address.

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